

# CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual



## Important Instructions

- A) Fields marked with '\*' are mandatory fields.  
 B) Please fill the form in English and in BLOCK letters.  
 C) Please fill the date in DD-MM-YYYY format.  
 D) Please read section wise detailed guidelines / instructions at the end.  
 E) List of State/U.T code as per Indian Motor Vehicle Act,1988 is available at the end.  
 F) List of two character ISO 3166 country codes is available at the end.  
 G) KYC number of applicant is mandatory for update application.  
 H) For particular section update, please tick ( ) in the box available before the section number and strike off the sections not required to be updated.

### For Office Use Only

(To Be Filled By Financial Institution)

### Application Type\*

New  Updated

### KYC Number

\_\_\_\_\_ (Mandatory For KYC Update Request)

### Account Type\*

Normal  Minor  Aadhaar OTP based E-KYC(non-face to face mode)

## 1. Personal Details

<b>Name*</b>		Photo
<b>Maiden Name (If any*)</b>		
<b>Father/Spouse Name*</b>		
<b>Mother Name*</b>		
<b>Date of Birth*</b>		
<b>Gender*</b>	<b>Marital Status*</b>	👍
<b>Citizenship*</b>		
<b>Residential Status*</b>		
<b>Occupation Type*</b>		
<b>Place/City of Birth*</b>		
<b>Country of Birth*</b>		


## 2. Proof of Identity

<b>PAN*</b>		
<b>Proof</b>	<b>Proof No</b>	<b>Proof ExpiryDate</b>
<input type="checkbox"/> Passport		
<input type="checkbox"/> VoterID		
<input type="checkbox"/> Driving Licence		
<input type="checkbox"/> Proof Of Possession Of Aadhaar		

## 3. Proof of Address

<b>Address Type*</b>		
<b>Proof of Address*</b>		
<b>Correspondence Address</b>		
<b>Address Line 1*</b>		
<b>Address Line 2</b>		
<b>Address Line 3</b>		
<b>City/Town/Village*</b>	<b>State*</b>	
<b>Country*</b>	<b>PIN Code*</b>	

Signature OF Client

<b>Permanent Address</b>		
<b>Address Line 1*</b>		
<b>Address Line 2</b>		
<b>Address Line 3</b>		
<b>City/Town/Village</b>	<b>State*</b>	
<b>Country*</b>	<b>PIN Code*</b>	

#### 4. Contact Details

<b>Tel.(Off.)</b>		<b>Tel.(Res.)</b>	
<b>Mobile No.*</b>		<b>Fax</b>	
<b>Email ID*</b>			

#### 5. FATCA & CRS Declaration

<b>Are you the TAX Resident of any country other than India</b>			
If yes please fill the following:			
<b>#Country</b>	<b>%Tax Identification Number</b>	<b>Identification Type</b>	
<b>Place of Birth</b>		<b>Country of Birth</b>	
<b>Country of citizenship</b>		<b>Country of Tax Residency</b>	
<b>Tax Exempt Flag</b>		<b>Tax Exempt Reason</b>	
<b>Date of Declaration</b>			

**# To also include USA, if the individual is a citizen/ green card holder of USA**

**% In case Tax Identification Number is not available, kindly provide functional equivalent\$**

**Certification:**I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete.

I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same.

I/We understand that my personal details as provided /available in the bank records will be used for CBDT reporting.

<b>Date</b>	
<b>Place</b>	
<b>Signature Of Client</b>	

## Extended KYC Annexure - Individuals (including sole-proprietors) (Applicable for Resident and Non-Resident Customers)

### **CBDT Terms and Conditions:**

The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Shah Investor's Home Ltd. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

### **CBDT Instructions:**

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. \$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
	If customer does not agree to be Specified U.S. person/ reportable person status
1. U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); <b>AND</b> 3. <b>Any one</b> of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; <b>or</b> Reason the customer did not obtain U.S. citizenship at birth
2. Residence/ mailing address in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; <b>and</b> 2. Documentary evidence (refer list below)
3. Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; <b>and</b> 2. Documentary evidence (refer list below)
4. Standing instructions to transfer funds to an account maintained in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; <b>and</b> 2. Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.) \* Government or agency thereof or a municipality

<b>Signature Of Client</b>	
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### **6. Remarks(If any)**

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## 7. Applicant Declaration

**Annual Income :**  < 1 Lac  1 Lac To 5 Lac  5 Lac To 10 Lac  10 Lac To 25 Lac  25 Lac To 1 Crore  > 1 Crore

**Annual Income Date:**

**Unique Id :** **Unique Name :**

- I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.
- I am aware of other modes of KYC which are available and I have chosen Aadhaar based method voluntarily. My Aadhaar record can be used by KRA only for the specific purpose of validating/ maintaining/ sharing my KYC record and as an audit evidence. I will have an option to request for deletion of my Aadhaar record.
- I/We hereby consent to receiving information from KRA through SMS/Email on the above registered number/Email address
- I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I hereby declare that the aforesaid mobile number or E-mail ID belongs to  Me Or  My Family (spouse, dependent children and dependent parents).

I/We have been informed by you that our beneficiary account may be eligible for BSDA conversion as per rules, regulations and provision of SEBI. However after being informed of the charge structure and various other considerations I/We have to request you that I/We do not want to opt for BSDA conversion/selection for our beneficiary demat account with you. I/We have been made aware of requirement of Rs. 500/- (Rupees Five Hundred Only) refundable deposit and Auto Debit Mandate to convert account to BSDA and I/We have decided to inform you in writing whenever I/We would want to convert/opt my/our account to BSDA.

You are also agreeing to keep your trading account active for one more year.

**Date:**

**Place:**

**Signature:**



Checked the Sanction list available on UN Website and FATF Circular issued by the SEBI and after checking the list and circulars the KYC is APPROVED.

## 8. Attestation/For Office use only

**Document Received** 3 (signature verified as per our record)







Certified Copies  EKYC data received from UIDAI  Data Received from offline verification  Digital KYC Process  Equivalent e-document

Intermediary/Institution Details		In-person verification(IPV), Documents verified with original & Client interviewed by	
Name	SHAH INVESTOR'S HOME LTD.	Employee/SB/AP name	
		Branch Name	
		Code	Designation
SIHL Stamp		Signature	
CKYC Institution Code	IN0037	Date	
CVL KRA POS Code	1200000943	NDML KRA MI ID	P0293

**Declaration**

I hereby declare that the CKYC details/BANK details given are true and correct to the best of my knowledge and belief and I undertake to inform about any changes therein, immediately.

**Unique Id:**                      **Unique Name :**

Demat Id/Trading Code	First Holder's Signature	Second Holder's Signature	Third Holder's Signature
	 _____	 _____	 _____
	 _____	 _____	 _____

**Note:**

1. Please bring original documents for verification.
2. Please bring 1 sets of documents in case of change in both Trading and Demat Account.
3. For any correction or overwriting ,client's signature is required.

**Documents Required :** (All documents must be Photo copied on A4 size paper & self attested)

- (1) PAN Card of all holders
- (2) Proof of Id of all holder(s) - (a) Passport (b) Voter ID (c) Driving Licence (d) Adhaar.
- (3) Proof of New Address - (a)Unique Identification Number (ADHAAR)    (b) Election Card    (c) Passport    (d) Voter Id    (e) Driving Licence

**ACKNOWLEDGMENT FOR CKYC DETAILS**

Your Request For CLIENT ID No \_\_\_\_\_ On Date \_\_\_\_\_ is Received and will be entered in our records .

**Name Of Branch/SubBroker/Franchisee:** \_\_\_\_\_

**Received By:** \_\_\_\_\_

**Place:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**SIHL stamp &**



**REGD. Office: 'SIHL HOUSE', Opp. Ambavadi Jain Temple, Nehrunagar Cross road, Ahmedabad - 380015.  
Phone: 079-4107 2222, Fax: 079-3002 9029, Website: www.sihl.in, Email: Helpdesk@sihl.in**